CERTIFICATION

This is to certify that for the year 2025 no complaints against agents were referred to or heard by the Sales Disciplinary Committee.

This is to certify further that quarterly reports on the Negative List of Agents were duly submitted to the Office of the Insurance Commissioner in compliance with the regulations of the Insurance Commission. During the third quarter of 2025, one (1) agent was reported for a violation involving a material misstatement in her application. The agent concerned had already resigned effective July 4, 2023; hence, the matter was endorsed to the Legal Department for appropriate action.

Below is a summary of the submitted reports on the Negative List of Agents for the year 2025:

Qtr.	Reported Case	Agent Name	Sales Channel	Violation	Status	Date Submitted to IC
1st	Reported no violations	N/A	N/A	N/A	N/A	Apr. 11, 2025
2nd	Reported no violations	N/A	N/A	N/A	N/A	July 7, 2025
3rd	Reported 1 Agent with a violation	[redacted]	Agency Channel	Material misstatement in her application reflecting the TIN of her husband as her own	Resigned – July 4, 2023	Oct. 8, 2025
4th	Reported no violations	N/A	N/A	N/A	N/A	Jan. 8, 2026

Issued this 13th day of January, 2026.

Lynie A. Antonio
Vice President
Head, Sales Support